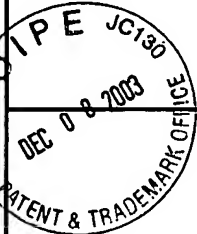


3683

#9
8
5/04

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 0545.024
In Re Application Of: RODE			
Serial No. 10/054,253	Filing Date 01/22/2002	Examiner Xuan Lan T. Nguyen	Group Art Unit 3683
Title: ADJUSTABLE DISC SPRING SYSTEMS AND METHODS		RECEIVED DEC 15 2003 GROUP 3600	
<div></div> <div>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</div> <div>37 CFR 1.97(b)</div> <div>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</div> <div>37 CFR 1.97(c)</div> <div>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</div> <div><div><input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e);</div><div>OR</div><div><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</div></div>			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
0545.024

In Re Application: **RODE**

Serial No.
10/054,253

Filing Date
01/22/2002

Examiner
Xuan Lan T. Nguyen

Group Art Unit
3683

ADJUSTABLE DISC SPRING SYSTEMS AND METHODS

RECEIVED
DEC 15 2003

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

GROUP 3600

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account **08-1935** as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

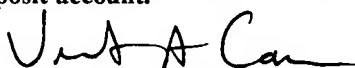
I certify that this document and fee is being deposited on 12/4/2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

VICTOR A. CARDONA

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.


Signature

Dated: 12/ 4 /2003

VICTOR A. CARDONA, ESQ.

Attorney for Applicant - Registration No. 44,589

HESLIN ROTHENBERG FARLEY & MESITI P.C.

5 Columbia Circle

Albany, New York 12203

Phone: 518-452-5600

Fax: 518-452-5579

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
0545.024

In Re Application Of: **RODE**

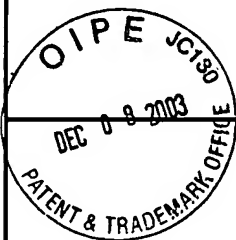
Serial No.
10/054,253

Filing Date
01/22/2002

Examiner
Xuan Lan T. Nguyen

Group Art Unit
3683

Invention: **ADJUSTABLE DISC SPRING SYSTEMS AND METHODS**



TO THE COMMISSIONER FOR PATENTS:

RECEIVED
DEC 15 2003
GROUP 3600

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

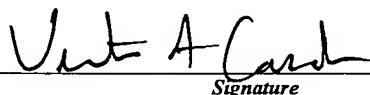
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

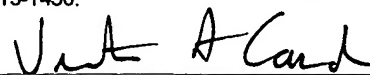
Dated: December 4, 2003

VICTOR A. CARDONA, ESQ.
Attorney for Applicant - Registration No. 44,589

HESLIN ROTHENBERG FARLEY & MESITI P.C.
5 Columbia Circle
Albany, New York 12203
Phone: 518-452-5600
Fax: 518-452-5579

Certificate of Mailing by First Class Mail

I certify that this document is being deposited on
12/ 4 /2003 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.



Signature of Person Mailing Correspondence

VICTOR A. CARDONA

Typed or Printed Name of Person Mailing Correspondence

cc: